

701 Pennsylvania Avenue, NW
Suite 800
Washington, DC 20004-2654
Tel: 202 783 8700
Fax: 202 783 8750
www.AdvaMed.org



March 11, 2009

Division of Dockets Management (HFA-305)
Food and Drug Administration
5630 Fishers Lane
Rm 1061
Rockville, MD 20852

RE: Response to Docket No. FDA-2008-D-0611

Dear Sir or Madam:

On behalf of AdvaMed, the Advanced Medical Technology Association, I am pleased to submit these comments in response to the United States Food and Drug Administration publication of “Draft Guidance for Industry and FDA Staff –Submission and Review of Sterility Information in Premarket Notification (510(k)) Submissions for Devices Labeled As Sterile.”

AdvaMed member companies produce the medical devices, diagnostic products, and health information systems that are transforming health care through earlier disease detection, less invasive procedures and more effective treatments. Our members produce nearly 90 percent of the health care technology purchased annually in the United States and more than 50 percent purchased annually around the world. AdvaMed members range from the largest to the smallest medical technology innovators and companies. AdvaMed member companies are dedicated to ensuring the safety and efficacy of the products they manufacture.

Thank you for the opportunity to provide comments on the draft guidance. AdvaMed fully supports FDA’s efforts to update and clarify the procedures for reviewing premarket notification submissions (510(k)) for devices labeled as sterile and for pyrogenicity information to be included in 510(k) submissions. However, AdvaMed member companies do have recommendations for changes to the current draft document. These recommended changes to the draft guidance are provided in Attachment A. The line number references are related to the line-numbered copy of the draft guidance (Attachment B).

Of major concern is the requirement listed in section III.A.3. : “*The reviewer should document that all blood contacting devices, permanent implants, devices that contact cerebrospinal fluid, and devices labeled pyrogen free or non- pyrogenic are, in fact, non-pyrogenic.*” This statement represents a significant change in current FDA policy as experienced by AdvaMed member companies and as stated in the current FDA guidance “Updated 510(k) Sterility Review Guidance K90-1. The current guidance states “*If the product is labeled “pyrogen free,” a description of the method used to make the determination, e.g., limulus amoebocyte lysate (LAL)*”. Most device-specific guidances reference K90-1 when discussing sterility and pyrogenicity.



A review of FDA recognized standards related to pyrogen testing shows an inconsistency of scope that does not support the wording in the draft guidance:

USP31:2008<161> Transfusion and Infusion Assemblies and Similar Medical Devices (Transfusion and infusion assemblies and similar devices that require end-product endotoxin testing or that claim to be non-pyrogenic)

USP31:2008<151> Pyrogen Test (USP Rabbit Test) (All devices that need to limit, to an acceptable level, the risk of a febrile reaction in the patient.)

ANSI/AAMIST72:2002 Bacterial Endotoxin Testing Methodologies, Routine Monitoring, and Alternative to Batch Testing (“...apply to sterile and non-pyrogenic assemblies or devices in contact directly or indirectly with the cardiovascular system, the lymphatic system or cerebrospinal fluid”)

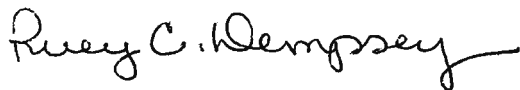
USP31:2008<85> Bacterial Endotoxin Test (LAL) (“Devices that contact patient blood, cerebrospinal fluid, or other normally sterile body fluids or tissues; implantable devices; and products labeled as non-pyrogenic.”)

The specific requirements stated in the draft guidance will result in testing devices that have not warranted non-pyrogenic claims in the past and for which FDA has not required non-pyrogenic claims. As always, increased testing incurs increased cost to produce medical devices. AdvaMed member companies are more than willing to conduct appropriate and necessary testing to ensure device safety; however, they are reluctant to conduct testing that is unnecessary and does not improve or contribute to device safety.

AdvaMed recommends that the wording relating to pyrogen testing in the revised guidance be the same as stated in the K90-1. This wording allows decisions related to appropriate pyrogen testing of individual device types to be determined by FDA through guidances, standards recognition, and discussions with industry.

If you have questions or would like clarification, please feel free to contact me at 202-434-7220 or rdempsey@advamed.org.

Sincerely,



Ruey C. Dempsey
Director
Technology and Regulatory Affairs

Attachment A-AdvaMed Comments
Attachment B-Draft Guidance with line numbers

ATTACHMENT A

ADVAMED Comments

Draft Guidance for Industry and FDA Staff Submission and Review of Sterility Information in Premarket Notification (510(k)) Submissions for Devices Labeled as Sterile

No. – Edit number

Line(s) No. – Line or lines numbers of the guidance (see line numbered copy Attachment B)

Change – Proposed change to the guidance

Reason – Reason for proposed change

No.	Line(s) No.	Change	Reason
1	112-113	Therefore, we intend to inspect the sterilization facility before clearing a 510(k) for a device that is sterilized by a novel non-traditional sterilization process.	The manufacturing facility may not be the site of the sterilization operation (e.g., contract sterilizer facility).
2	113-114	Sentence is incomplete	
3	115	Clarify the <i>Scope</i> of the guidance (or state in another section of the guidance) to specify that changing sterilization methods to a non-traditional method does not require a new 510(k) to be filed as long as the SAL remains the same.	Updated 510(k) Sterility Review Guidance K90-1, dated August 30, 2002 makes this provision.
4	122-127	...Examples of microbial exclusion processes include sterilizing filtration methods and aseptic processing, commonly used in pharmaceutical manufacturing. Heparin and saline lock flush solutions, which are regulated as medical devices, ⁸ belong to this category if in non-heat stable containers and, therefore, are excluded. Proof of incompatibility with terminal sterilization process should be provided and reviewed.	Heparin and saline lock flush solutions are heat-stable and are suitable for terminal sterilization. As a result, heparin and saline lock flush solutions should not be categorically excluded from the guidance if they are terminally sterilized
5	154	Traditional Sterilization Methods: methods that have a demonstrated safe and effective use as documented by ...	"long history" is vague.
6	163	Add to the definition of <i>non-traditional sterilization methods</i> a statement that a sterilization method that was previously cleared by a 510(k) for use as a Sterilizer in a Health Care facility qualifies the method as non-traditional for an industrial sterilization application.	

ATTACHMENT A

ADVAMED Comments

Draft Guidance for Industry and FDA Staff Submission and Review of Sterility Information in Premarket Notification (510(k)) Submissions for Devices Labeled as Sterile

7	209-211	Delete phrase “or uses a long gas dwell time (e.g., greater than 8 hours)”	The length of EO exposure is not in any way ‘Novel’ or non-traditional. It is not uncommon to have long gas dwell times in traditional fixed chamber sterilization, based upon package and device configuration. Including this example in the guidance document may lead to confusion regarding what defines a novel sterilization method.
8	250-251	FDA recommends an SAL of 10 ⁻³ for devices intended only for contact with the skin, or with no direct patient contact.	Many devices are sterilized even though there is no intent that the device will contact the patient. Examples are needle connection devices for use in surgical suites and collection bags for used surgical sponges. It would be unnecessarily burdensome to impose more stringent requirements on these types of non-patient contact sterile devices than for devices that are intended for contact with intact skin.
9	252-256	If the product is labeled "pyrogen free," a description of the method used to make the determination, e.g., limulus amebocyte lysate (LAL).	Retain current language in K90-1. See discussion in the cover letter.
10	258	A description of the method to be used...	In many cases, the product that is the subject of a 510(k) submission has not yet been manufactured in the final manufacturing setting; therefore, actual finished product testing has not been completed so the method has not been used at the time of the 510(k).
11	259-261	...e.g., bacterial endotoxins test (BET), also known as the <i>limulus</i> amebocyte lysate (LAL) test; or USP Pyrogen Test (Rabbit) or equivalent test...	Expand the list of examples to include rabbit and equivalent test.
12	262-263	b. identification of the endotoxin limit , and	“endpoint” is not typically used when referring to a product endotoxin limit. The FDA LAL Guideline document (1987), USP<161>, and ANSI/AAMI ST72:2002 all use the term “endotoxin limit” when describing how to calculate the product endotoxin limit.

ATTACHMENT A

ADVAMED Comments

Draft Guidance for Industry and FDA Staff Submission and Review of Sterility Information in Premarket Notification (510(k)) Submissions for Devices Labeled as Sterile

13	264-284	c. an explanation supporting the selected endotoxin limit . We recommend the following endotoxin limit : 0.5 EU/ml for general medical devices (e.g., blood contacting) and 0.06 EU/ml for devices that contact cerebrospinal fluid. These endotoxin limits ...	See comment above
14	281	Add reference: United States Pharmacopeia (USP) <161> Transfusion and Infusion Assemblies and Similar Medical Devices	USP <161> provides guidelines for performing extractions of medical devices for the purposes of LAL testing, as well as the formula used to calculate the product endotoxin limits for medical devices.
15	293-301	Provide requirements for information on methods used for novel non-traditional sterilization methods. What information is needed?	Requirements for information for information traditional methods are listed in III A.; the requirements for non-traditional are stated in III B. No requirements are listed for novel non-traditional in III C.
16	380	Describe how manufacturers will be made aware that a previously novel non-traditional sterilization method has been redefined as a non-traditional method.	It will be important for companies who are submitting 510(k)s to know that a previously novel non-traditional method has been re-classified as non-traditional.
17	435-437	Footnote 12 Provide more specific information on the requirement for “whole package integrity test and one seal strength test”. List FDA recognized standards for package integrity testing.	

ADVAMED COMMENTS

ATTACHEMENT B



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[Questions?](#)

3 [FDA](#) > [CDRH](#) > [Guidance](#) > Draft Guidance for Industry and FDA Staff: Submission and Review
4 of Sterility Information in Premarket Notification (510(k)) Submissions for Devices Labeled as
5 Sterile

6 **Draft Guidance for Industry and FDA**
7 **Staff: Submission and Review of**
8 **Sterility Information in Premarket Notification**
9 **(510(k)) Submissions for Devices Labeled as**
10 **Sterile**



11 ***DRAFT GUIDANCE***

12 **This guidance document is being distributed for comment purposes only.**

13
14 **Document issued on: December 12, 2008**

15 Comments and suggestions regarding this draft document should be submitted
16 within 90 days of publication in the *Federal Register* of the notice announcing the
17 availability of the draft guidance. Submit written comments to the Division of
18 Dockets Management (HFA-305), Food and Drug Administration, 5630 Fishers
19 Lane, rm. 1061, Rockville, MD 20852. Alternatively, electronic comments may be
20 submitted to <http://www.regulations.gov>. All comments should be identified with
21 the docket number listed in the notice of availability that publishes in the *Federal*
22 *Register*.

23 For questions regarding devices regulated by the Center for Devices and
24 Radiological Health, contact Steven Turtill at (240) 276-3747, or by e-mail at
25 steven.turtill@fda.hhs.gov, or Chiu Lin, Ph.D. at (240) 276-3747, or by e-mail at
26 chiu.lin@fda.hhs.gov. For questions regarding devices regulated by the Center
27 for Biologics Evaluation and Research (CBER), contact Leonard Wilson at 301-
28 827-0373 or by email at leonard.wilson@fda.hhs.gov.

29 When final, this document will supersede [Updated 510\(k\) Sterility Review](#)
30 [Guidance K90-1](#), dated August 30, 2002.



U.S. Department of Health and Human Services
Food and Drug Administration
Center for Devices and Radiological Health

Center for Biologics Evaluation and Research



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Preface

32 **Additional Copies**

33 **Additional Copies**

34 Additional copies are available from the Internet at:
35 <http://www.fda.gov/cdrh/ode/guidance/xxxx.html>. You may also send an e-mail
36 request to dsmica@fda.hhs.gov to receive an electronic copy of the guidance or
37 send a fax request to 240-276-3151 to receive a hard copy. Please use the
38 document number (1615) to identify the guidance you are requesting. Copies of
39 the guidance are also available from:

40 Office of Communication, Training and Manufacturers Assistance, HFM-40
41 Center for Biologics Evaluation and Research
42 Food and Drug Administration
43 1401 Rockville Pike, Suite 200N, Rockville, MD 20852-1448
44 Phone: 800-835-4709 or 301-827-1800

45

46 ***Contains Nonbinding Recommendations***
47 ***Draft - Not for Implementation***

48

49 **Table of Contents**

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Draft Guidance for Industry and FDA Staff

Submission and Review of Sterility Information in Premarket Notification (510(k)) Submissions for Devices Labeled as Sterile

This draft guidance, when finalized, will represent the Food and Drug Administration's (FDA's) current thinking on this topic. It does not create or confer any rights for or on any person and does not operate to bind FDA or the public. You can use an alternative approach if the approach satisfies the requirements of the applicable statutes and regulations. If you want to discuss an alternative approach, contact the FDA staff responsible for implementing this guidance. If you cannot identify the appropriate FDA staff, call the appropriate number listed on the title page of this guidance.

I. Introduction

65 This draft guidance document updates and clarifies the procedures for reviewing
66 premarket notification submissions (510(k)s) for devices labeled as sterile,
67 particularly with respect to sterilization technologies FDA considers novel, and
68 provides details about the pyrogenicity information that we recommend you
69 include in your 510(k) submissions.¹ This draft guidance document also
70 addresses the information regarding sterilization processes that we recommend
71 you include in 510(k)s for devices labeled as sterile.

72 This draft guidance document does not apply to sterilizers that are themselves
73 medical devices subject to 510(k).^{2,3} This draft guidance document also does not
74 address 510(k) submissions for reusable medical devices that are reprocessed in
75 health care settings,⁴ 510(k) submissions for reprocessed single-use devices,⁵ or
76 information to be included in 510(k)s for devices that contain animal tissue.⁶
77 Finally, FDA notes that the sterilization methods used in manufacturing settings
78 are subject to FDA's Quality System (QS) regulation requirements, 21 CFR Part
79 820.

80 FDA's guidance documents, including this guidance, do not establish legally
81 enforceable responsibilities. Instead, guidances describe the Agency's current
82 thinking on a topic and should be viewed only as recommendations, unless
83 specific regulatory or statutory requirements are cited. The use of the word
84 *should* in Agency guidances means that something is suggested or
85 recommended, but not required.

86 **A. The Least Burdensome Approach**

87 This draft guidance document reflects our careful review of what we believe are
88 the relevant issues related to review of devices labeled as sterile (including those
89 regulated by the Center for Biologics Evaluation and Research (CBER)) and
90 what we believe would be the least burdensome way of addressing these issues.
91 If you have comments on whether there is a less burdensome approach,
92 however, please submit your comments as indicated on the cover of this
93 document.

94 **B. Background**

95 In recent years, FDA has received an increased number of 510(k)s for devices
96 labeled as sterile that use **non-traditional** sterilization methods in their
97 manufacture. FDA has experience with some types of **non-traditional** methods
98 of sterilization. We recognize, however, there may be **novel non-traditional**
99 sterilization technologies used in the manufacture of class I and class II devices.
100 (The terms **non-traditional** and **novel non-traditional** are defined in Section
101 II.A. below.)

102 Under section 513(f)(5) of the Federal Food, Drug, and Cosmetic Act (the act),
103 FDA may not withhold 510(k) clearance for failure to comply with any provision of
104 the act unrelated to a substantial equivalence decision, including failure to
105 comply with Good Manufacturing Practice (GMP),⁷ unless FDA finds that there is
106 a substantial likelihood that failure to comply with the provision "will potentially
107 present a serious risk to human health." We believe that using **novel non-**
108 **traditional** sterilization technologies carries a substantial risk of inadequate
109 sterility assurance and that, consequently, devices sterilized using **novel non-**
110 **traditional technologies** may not comply with GMP. Failure to assure sterility
111 presents a serious risk to human health because of the risk of infection.

112 Therefore, we intend to inspect the manufacturing facility before clearing a 510(k)
113 for a device that is sterilized by a **novel non-traditional** sterilization process. We
114 believe inspecting devices sterilized using novel

115 **C. Scope**

116 The scope of this guidance is limited to the review of 510(k)s for devices labeled
117 as sterile that are subject to industrial terminal sterilization processes based on
118 microbial inactivation. Examples of these processes include radiation, steam,
119 ethylene oxide (EtO), and new technology sterilization processes.

120 **D. Exclusions**

- 121 1. Processes that rely on microbial exclusion, rather than microbial
122 inactivation, are outside the scope of this guidance. Examples of
123 microbial exclusion processes include sterilizing filtration methods
124 and aseptic processing, commonly used in pharmaceutical
125 manufacturing. Heparin and saline lock flush solutions, which are
126 regulated as devices,⁸ belong to this category and, therefore, are
127 excluded.
- 128 2. Processes intended to sterilize medical devices that incorporate
129 materials of animal origin (i.e., human or animal tissues) are
130 outside the scope of this guidance. We intend to address in a future
131 guidance the processing of these devices and the sterilization
132 methods used (including the use of liquid chemical sterilants). The
133 branch responsible for the review of your device is available to
134 discuss your questions about devices that contain materials of
135 human or animal origin.
- 136 3. Processes intended to be used by reproducers of single-use
137 devices are outside the scope of this document. See **“Medical
138 Device User Fee and Modernization Act of 2002, Validation
139 Data in Premarket Notification Submissions (510(k)s) for
140 Reprocessed Single-Use Medical Devices.”**⁹
- 141 4. Information on the cleaning, disinfecting, and sterilizing of reusable
142 devices that are reprocessed at healthcare facilities (and for single-
143 use devices that are provided non-sterile for further sterilization at
144 healthcare facilities) are outside the scope of this document. Please
145 refer to **“Labeling Reusable Medical Devices for Reprocessing
146 in Health Care Facilities: FDA Reviewer Guidance.”**¹⁰

147 **II. Methods of Sterilization**

148 **A. Definitions**

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FDA recognizes three categories of sterilization methods currently used to sterilize medical devices in manufacturing settings – **Traditional, Non-traditional, and Novel Non-traditional**. These processes are defined as:

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1. **Traditional Sterilization Methods** : methods that have a long history of safe and effective use as demonstrated by ample literature, clearances of 510(k)s or approvals of premarket approval applications, and satisfactory QS inspections, and for which there are voluntary consensus standards for validation that are recognized by FDA.
2. **Non-traditional Sterilization Methods** : methods that do not have a long history of safe and effective use and for which there are no FDA-recognized standards, but for which published information on validation of these methods exists and for which FDA has previously evaluated data as part of a QS evaluation and determined the methods to be adequate.
3. **Novel Non-traditional Sterilization Methods** : newly developed methods for which there are no FDA recognized standards, there is no FDA inspectional history, or there is little or no published information on validation, and for which there is no history of comprehensive FDA evaluation of sterilization validation data. **A Novel Non-traditional Sterilization Method** is also a method that has not been evaluated by FDA as part of a QS evaluation and that employs sterilization methods that FDA has not reviewed and determined to be adequate to provide reasonable assurance of safe and effective use.

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B. Examples of Sterilization Methods (as of the date of this guidance)

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1. **Traditional**
 1. Dry Heat
 2. Ethylene Oxide (EtO) with devices in a fixed chamber
 3. Moist Heat or Steam

- 192 4. Radiation (e.g., gamma, electron beam)
193 2. **Non-traditional**
194 1. Hydrogen Peroxide (H₂O₂) Gas
195 Plasma
196 2. Ozone (O₃)

197 • **Novel non-traditional**

- 198 1. Chlorine Dioxide (ClO₂)
199 2. Ethylene Oxide-in-a-Bag (EtO-in-
200 a-Bag, Diffusion method, or
201 Injection method)
202 This method differs from
203 **traditional** EtO methods in that
204 Ethylene Oxide-in-a-Bag
205 specifies a volume of EtO instead
206 of a concentration (e.g., 7.2
207 grains instead of 500-600 mg/L),
208 uses an EtO cartridge or capsule,
209 uses humidichips, or uses a long
210 gas dwell time (e.g., greater than
211 8 hours).
212 3. High Intensity Light or Pulse Light
213 4. Microwave Radiation
214 5. Sound Waves
215 6. Ultraviolet Light
216 7. Vaporized Chemical Sterilant
217 Systems (e.g., hydrogen peroxide
218 or peracetic acid).

219 **III. Sterilization Information for Devices**
220 **Labeled as Sterile**

- 221 A. ODE and CBER scientific reviewers should evaluate
222 **traditional** sterilization methods submitted in 510(k)s for the
223 following information. ODE and CBER scientific reviewers should
224 also document that this information was provided.
225 1. For the sterilant, the reviewer should document the
226 following:
227 a. a description of the sterilization method;
228 b. in the case of radiation sterilization, the
229 radiation dose; and
230 c. the maximum levels of sterilant residuals that
231 remain on the device, and an explanation of
232 why those levels are acceptable for the device

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type and the expected duration of patient contact.

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In the case of EtO sterilization, CDRH has accepted EtO residuals information based on the recognized standard, "ANSI/AAMI/ISO 10993-7:1995 Biological Evaluation of Medical Devices – Part 7: Ethylene Oxide Sterilization Residuals."

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1. For the sterilization method, the reviewer should document a description of the method used to validate the sterilization cycle (e.g., the half-cycle method) but not the validation data itself. The submission should also identify all relevant consensus standards used and aspects of the standards that were not met.
2. The reviewer should document the sterility assurance level (SAL) of 10^{-6} for devices labeled sterile unless the device is intended only for contact with intact skin. FDA recommends a SAL of 10^{-3} for devices intended only for contact with intact skin.
3. The reviewer should document the testing performed to demonstrate that all blood contacting devices, permanent implants, devices that contact cerebrospinal fluid, and devices labeled pyrogen free or non-pyrogenic are, in fact, non-pyrogenic. The documentation should include the following:
 - a. a description of the method used to make the determination, e.g., bacterial endotoxins test (BET), also known as the *limulus* amoebocyte lysate (LAL) test;
 - b. identification of the testing endpoint that was reached; and
 - c. an explanation supporting the selected endpoint. We recommend the following endotoxin endpoint: 0.5 EU/ml for general medical devices (e.g., blood contacting) and 0.06 EU/ml for devices that contact cerebrospinal fluid. These endpoints assume an extraction methodology described in the guidance or standards listed below (i.e., based on a 40 ml extraction volume per device). See:
 - **FDA "Guideline on Validation of the Limulus Amoebocyte Lysate Test as an End Product Endotoxin Test for Human and Animal Parenteral Drugs,**

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Biological Products, and Medical Devices” (1987)¹¹

- United States Pharmacopeia (USP) <85> Bacterial Endotoxins Test
- ANSI/AAMI ST72:2002 Bacterial endotoxins—Test methodologies, routine monitoring, and alternatives to batch testing

1. The reviewer should document a description of the packaging and how it will maintain the device’s sterility, and a description of the package test methods, but not package test data.¹²
- B. ODE and CBER scientific reviewers should evaluate **non-traditional** sterilization methods in 510(k)s and document the information submitted following the recommendations above for **traditional** sterilization methods.
- C. ODE scientific reviewers should refer **novel non-traditional** sterilization methods in 510(k)s to the Infection Control Devices Branch (INCB) for sterility consultation review. INCB should, in coordination with the Lead Review branch, send any requests for clarification or additional data to the Office of Compliance to enable the Agency to fully characterize and assess the method described in the 510(k). CBER lead reviewers should ask for a sterility consultation review from the appropriate CBER and/or CDRH offices.

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IV. Review Routing for Sterile Devices

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A. In order to maintain consistency in our approach to sterility review in 510(k) submissions, the 510(k) should be reviewed in accordance with the following procedures. A summary table is provided below in section B.

1. The Lead Reviewer should follow the recommendations in **Section III. Sterilization Information for Sterile Devices** for reviewing information in a 510(k) for a device intended to be subjected to **traditional** Sterilization Methods.
2. The Lead Reviewer should follow the recommendations in **Section III. Sterilization Information for Sterile Devices** when reviewing information in a 510(k) submission for a device intended to be subjected to **non-traditional** sterilization methods. Additionally, the Lead Reviewer should notify the appropriate Division of Enforcement Branch Chief within the CDRH Office of Compliance that a 510(k) for a device using a **non-traditional**

321 sterilization method has been submitted. The
322 notification should also request that Office of
323 Compliance consider a priority QS “Post-Clearance
324 Inspection” after FDA clears the 510(k). For CBER-led
325 reviews, the Lead Reviewer should consult with the
326 CBER Office of Compliance and Biologics Quality,
327 Division of Inspection Surveillance (OCBQ/DIS) on
328 any requests for Post-Clearance inspections.

329 3. The Lead Reviewer (with the concurrence of his or
330 her Branch Chief) should forward the information in a
331 510(k) for a device intended to be subjected to what
332 appears to be a **novel non-traditional** sterilization
333 method to the Branch Chief, INCB, with a formal
334 request for a comprehensive sterility consultation
335 review. Upon receiving the request, the Branch Chief,
336 INCB, and Division Director, Division of
337 Anesthesiology, General Hospital, Infection Control,
338 and Dental Devices, along with the referring ODE
339 Division Director (i.e., the lead review division), should
340 work with the ODE Deputy Director for Science and
341 Review Policy to decide whether the sterilization
342 method is a **novel non-traditional** sterilization
343 method as defined in this guidance. The CBER lead
344 reviewer should consult with appropriate CBER
345 and/or CDRH offices depending on the scientific
346 expertise required to evaluate whether the sterilization
347 method is a novel non-traditional method as defined
348 in this guidance.

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350 If CDRH believes the sterilization method is a **novel**
351 **non-traditional** method, INCB should initiate a
352 review. At the same time, and in coordination with the
353 Lead Reviewer of the reviewing Division, INCB should
354 notify the appropriate Division of Enforcement Branch
355 Chief within CDRH Office of Compliance that a 510(k)
356 for a device sterilized using a **novel non-traditional**
357 method has been received.

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359 The notice should include a formal request that CDRH
360 Office of Compliance initiate a priority QS “Pre-
361 Clearance Inspection” prior to the clearance of the
362 510(k). The ODE request for a directed inspection
363 may include instructions to collect specific data for
364 evaluation, as recommended by INCB. For CBER-led
365 reviews, the lead reviewer should consult with CBER
366 OCBQ/DIS on any requests for inspections.

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Throughout the review process, INCB will be available to provide technical expertise to the Office of Compliance and the Office of Regulatory Affairs.

After FDA issues a 510(k) clearance letter, the Lead Reviewer should notify the Branch Chief, INCB, and the Office of Compliance that FDA has cleared a 510(k) with a newly validated **novel non-traditional** sterilization method. The Branch Chief, INCB, should inform the ODE review staff that FDA now considers the newly validated **novel non-traditional** sterilization method to be a **non-traditional** sterilization method. From that point on, ODE should review new 510(k)s for devices that use the sterilization method following the recommendations in **Section IV. Review Routing for Sterile Devices** subsection A. 2. above. For very unique and/or difficult to validate sterilization procedures, FDA may request that more than one device using the new method be cleared before considering the novel non-traditional sterilization method a non-traditional sterilization method.

B. Summary Table: Recommended Review Routing.¹³

Sterilization Method	INCB Consult	QS Inspection
Traditional	No	Routine Post-Market
Non-traditional	No	“Priority” Post-Clearance
Novel non-traditional	Yes	“Priority” Pre-Clearance

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C. INCB is available to provide technical consultation to the CDRH Office of Compliance, and the Office of Regulatory Affairs on both **non-traditional** and **novel non-traditional** sterilization methods.

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¹ When final, this guidance will supersede “Updated 510(k) Sterility Review Guidance K90-1” (available at <http://www.fda.gov/cdrh/ode/guidance/361.pdf>) issued August 30, 2002, which superseded the Blue Book Memorandum #K90-1, issued on February 12, 1990.

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² Ethylene oxide gas sterilizer, 21 CFR 880.6860, dry-heat sterilizer, 880.6870, and steam sterilizer 880.6880.

402 ³ “Guidance on Premarket Notification 510(k) Submissions for
403 Sterilizers Intended for Use in Health Care Facilities,”
404 <http://www.fda.gov/cdrh/ode/hcfsteril.pdf>.

405 ⁴ For devices marketed as “non-sterile intended for sterilization at
406 the health care facility,” FDA recommends the immediate package
407 label and labeling prominently identify the device as non-sterile.
408 ODE scientific reviewers should consult “Labeling Reusable
409 Medical Devices for Reprocessing in Health Care Facilities: FDA
410 Reviewer Guidance” (available at
411 <http://www.fda.gov/cdrh/ode/198.pdf>) for additional labeling
412 recommendations.

413 ⁵ See the guidance entitled, “Medical Device User Fee and
414 Modernization Act of 2002, Validation Data in Premarket
415 Notification Submissions (510(k)s) for Reprocessed Single-Use
416 Medical Devices” available at
417 <http://www.fda.gov/cdrh/ode/guidance/1216.html>.

418 ⁶ FDA intends to issue a separate draft guidance to address the
419 sterilization of human and animal tissue for use in medical devices
420 because this subject matter involves concerns unique to materials
421 from these sources. The branch responsible for the review of your
422 device (the lead review branch) is available to discuss your
423 questions about 510(k)s for devices that contain human or animal
424 tissue.

425 ⁷ The implementing regulations for GMP requirements, section
426 520(f) of the Federal Food, Drug, and Cosmetic Act, are referred to
427 as Quality System (QS) requirements (see also 21 CFR Part 820).

428 ⁸ “Heparin Catheter Lock-Flush Solutions; Transfer of Primary
429 Responsibility from Center for Drug Evaluation and Research to
430 Center for Devices and Radiological Health.” 71 FR 47499, August
431 17, 2006.

432 ⁹ <http://www.fda.gov/cdrh/ode/guidance/1216.html>.

433 ¹⁰ <http://www.fda.gov/cdrh/ode/198.pdf>.

434 ¹¹ <http://www.fda.gov/cder/guidance/old005fn.pdf>.

435 ¹² Package test methods should include simulated distribution
436 followed by one whole package integrity test and one seal strength
437 test.

438 ¹³ This recommended procedure is specific for CDRH. CBER has
439 similar review routing procedures that are specific to the offices
440 responsible for various aspects of 510(k)s reviews. Any questions
441 regarding CBER's review routing procedures should be directed to
442 the relevant product offices.

443 Updated December 11, 2008

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